



Agenda

Meeting: Audit Committee

**Venue: The Brierley Room, County Hall,
Northallerton DL7 8AD**

**Date: Thursday, 30 November 2017 at
13:30**

**Members are invited to a seminar to be held at 13:00
in the Brierley Room concerning an Update on Health
Issues in North Yorkshire**

Recording is allowed at County Council, committee and sub-committee meetings which are open to the public. Please give due regard to the Council's protocol on audio/visual recording and photography at public meetings, a copy of which is available to download below. Anyone wishing to record is asked to contact, prior to the start of the meeting, the Officer whose details are at the foot of the first page of the Agenda. We ask that any recording is clearly visible to anyone at the meeting and that it is non-disruptive. <http://democracy.northyorks.gov.uk/>

Business

Enquiries relating to this agenda please contact **Ruth Gladstone** Tel: **01609 532555** or e-mail Ruth.Gladstone@northyorks.gov.uk Website: www.northyorks.gov.uk

1 **Minutes of the Meeting held on 7 September 2017**

Document Attached:

[Minutes of the Meeting held on 7 September 2017.pdf](#) - (Page 7 to 12)

2 **Declarations of Interest**

3 **Public Questions or Statements**

Members of the public may ask questions or make statements at this meeting if they have delivered notice (to include the text of the question/statement) to the officer whose contact details are at the foot of the first page of this Agenda **by midday on Monday 27**

November 2017. Each speaker should limit themselves to 3 minutes on any item. Members of the public who have given notice will be invited to speak:-

- at this point in the meeting if their questions/statements relate to matters which are not otherwise on the Agenda (subject to an overall time limit of 30 minutes);
- when the relevant Agenda item is being considered if they wish to speak on a matter which is on the Agenda for this meeting.

If you are exercising your right to speak at this meeting, but do not wish to be recorded, please inform the Chairman who will instruct those taking a recording to cease while you speak.

4 (a) **Health and Adult Services Directorate Internal Audit Work**

Report of the Head of Internal Audit

Document Attached:

[Health and Adult Services Directorate Internal Audit Work.pdf](#) - (Page 13 to 22)

4 (b) **Health and Adult Services Directorate Internal Control Matters**

Report of the Corporate Director Health and Adult Services

Document Attached:

[Health and Adult Services Directorate Internal Control Matters.pdf](#) - (Page 23 to 47)

5 (a) **Business and Environmental Services Directorate Internal Audit Work**

Report of the Head of Internal Audit

Document Attached:

[Business and Environmental Services Directorate - Internal Audit Work.pdf](#) - (Page 48 to 55)

5 (b) **Business and Environmental Services Directorate Internal Control Matters**

Report of the Corporate Director Business and Environmental Services

Document Attached:

[Business and Environmental Services Directorate Internal Control Matters.pdf](#) - (Page 56 to 75)

6 **Progress on Issues Raised by the Committee**

Joint report of the Corporate Director Strategic Resources and the Assistant Chief Executive (Legal and Democratic Services)

Document Attached:

[Progress on Issues Raised by the Committee.pdf](#) - (Page 76 to 79)

7 **External Auditor's Annual Audit Letter 2016-17**

Letter from the External Auditor

Document Attached:

[External Auditor's Annual Audit Letter 2016-17.pdf](#) - (Page 80 to 86)

8 **Governance of External Companies**

Report of the Corporate Director Strategic Resources

Document Attached:

[Governance of External Companies.pdf](#) - (Page 87 to 113)

9 **Audit Committee Terms of Reference / Review of Effectiveness**

Report of the Corporate Director Strategic Resources

Document Attached:

[Audit Committee Review of Terms of Reference and Review of Effectiveness.pdf](#) - (Page 114 to 118)

10 **Risk Management - Progress**

Report of the Corporate Director Strategic Resources

Document Attached:

[Risk Management - Progress.pdf](#) - (Page 119 to 144)

11 **Audit Committee - Programme of Work**

Document Attached:

[Audit Committee - Programme of Work.pdf](#) - (Page 145 to 145)

12 **Other business which the Chairman agrees should be considered as a matter of urgency because of special circumstances**

Barry Khan
Assistant Chief Executive (Legal and Democratic Services)

County Hall
Northallerton

Note: Emergency Procedures for Meetings in the Brierley Building at County Hall

Fire

The fire evacuation alarm is a continuous Klaxon. On hearing this you should leave the building by the nearest safe fire exit. Once outside the building please proceed to the fire assembly point in front of the main entrance to the Brierley Building. Persons should not re-enter the building until authorised to do so by the Fire and Rescue Service or the Emergency Co-ordinator.

An intermittent alarm indicates an emergency in nearby building. It is not necessary to evacuate the building but you should be ready for instructions from the Fire Warden.

Accident or Illness

First Aid treatment can be obtained by telephoning Extension 7575.

Audit Committee

Membership

County Councillors (8)

Councillors Name	Chairman/Vice Chairman	Political Group	Electoral Division
ARTHUR, Karl		Conservative	Selby Barlby division
ATKINSON, Margaret	Vice Chairman	Conservative	Masham and Fountains division
BAKER, Robert		Conservative	Sowerby division
CLARK, Jim		Conservative	Harrogate Harlow division
HUGILL, David		Conservative	North Hambleton division
LUNN, Clifford	Chairman	Conservative	Selby Brayton division
MACKAY, Don		NY Independent	Tadcaster division
WEBBER, Geoff		Liberal Democrat	Harrogate Bilton and Nidd Gorge division

Members other than County Councillors – (3) Non Voting

Name of Member	Representation
MARSH, David	Independent Member
PORTLOCK, David	Independent Member
VACANCY, Vacancy	

Total Membership – (11)

Substitute Members

Name of Member	Group
PARASKOS, Andy	Conservative
PATMORE, Caroline	Conservative
BACKHOUSE, Andrew	Conservative
COOPER, Richard	Conservative
THOMPSON, Angus	Conservative
BROADBANK, Philip	Liberal Democrat